



# Smithsonian

*Institution Archives*

## Formulation and Execution Files, 1985-2005

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## Collection Overview

<b>Repository:</b>	Smithsonian Institution Archives, Washington, D.C., <a href="mailto:osiaref@si.edu">osiaref@si.edu</a>
<b>Title:</b>	Formulation and Execution Files
<b>Date:</b>	1985-2005
<b>Identifier:</b>	Accession 09-128
<b>Creator:</b>	Smithsonian Institution. Office of Planning, Management and Budget
<b>Extent:</b>	5 cu. ft. (5 record storage boxes)
<b>Language:</b>	English

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## Administrative Information

### Preferred Citation

Smithsonian Institution Archives, Accession 09-128, Smithsonian Institution. Office of Planning, Management and Budget, Formulation and Execution Files

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## Descriptive Entry

This accession consists of budget records documenting the formulation and execution of the Smithsonian Institution's federal and trust budgets for the years 2001-2005. Materials include budget submissions, Congressional hearings and justifications, allotments and allocations, apportionments, and reports. Also included are a few additional files concerning employment and rescission.

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## Names and Subject Terms

This collection is indexed in the online catalog of the Smithsonian Institution under the following terms:

### Subjects:

- Budget
- Budget process
- Legislative hearings -- United States
- Museum finance
- Museums -- Administration

### Types of Materials:

- Manuscripts

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## Container Listing

Box 1	
Box 1 of 5	FY 2001 Call for Plans and Budgets
Box 1 of 5	FY 2001 OMB (Office of Management and Budget) Allowance Letter
Box 1 of 5	FY 2001 OMB Budget Formulation
Box 1 of 5	FY 2001 Trust Budget Formulation
Box 1 of 5	FY 2001 Mandatory Costs
Box 1 of 5	FY 2001 Submission to OMB
Box 1 of 5	FY 2001 OMB Comp. Files (MAX)
Box 1 of 5	FY 2001 Budget Schedules (Galleys)
Box 1 of 5	FY 2001 OMB Hearing/Review
Box 1 of 5	FY 2001 OMB Passback and Appeal
Box 1 of 5	FY 2001 Congressional Budget Preparation
Box 1 of 5	FY 2001 Submission to Congress
Box 1 of 5	FY 2001 Congressional Hearing Briefing Book
Box 1 of 5	FY 2001 Congressional Hearings
Box 1 of 5	FY 2001 Congressional Action
Box 1 of 5	FY 2001 Appeals to Congress
Box 1 of 5	FY 2001 Continuing Resolutions
Box 1 of 5	FY 2001 Trust Budget Execution
Box 1 of 5	FY 2001 Allotment/Allocations
Box 1 of 5	FY 2001 Supplemental Appropriations
Box 1 of 5	FY 2001 Employment/FTE Usage
Box 1 of 5	FY 2001 Reprogramming Requests

Box 1 of 5                      FY 2001 Buyouts

Box 1 of 5                      FY 2001 Reconciliations

Box 1 of 5                      FY 2002 Federal Budget Requests to the OMB - Justifications of  
Increases

Box 1 of 5                      FY 2002 Five Year Plan

Box 2

Box 2 of 5                      FY 2002 Annual Performance Plan

Box 2 of 5                      FY 2002 Call for Plans and Budgets

Box 2 of 5                      FY 2002 OMB Allowance Letter

Box 2 of 5                      FY 2002 OMB Budget Formulation

Box 2 of 5                      FY 2002 Trust Budget Formulation

Box 2 of 5                      FY 2002 Mandatory Costs

Box 2 of 5                      FY 2002 Submission to OMB

Box 2 of 5                      FY 2002 OMB Comp. Files (MAX)

Box 2 of 5                      FY 2002 Budget Schedules (Galleys)

Box 2 of 5                      FY 2002 OMB Passback and Appeal

Box 2 of 5                      FY 2002 OMB Hearing/Review

Box 2 of 5                      FY 2002 Congressional Budget Preparation

Box 2 of 5                      FY 2002 Submission to Congress

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Box 2 of 5                      FY 2002 Continuing Resolutions

Box 2 of 5                      FY 2002 Allotment/Allocations

Box 2 of 5 FY 2002 Supplemental Appropriations

Box 2 of 5 FY 2002 Rescissions

Box 2 of 5 FY 2002 Employment/FTE Usage

Box 2 of 5 FY 2002 Reprogramming Requests

Box 2 of 5 FY 2002 Permanent Reprogrammings

Box 2 of 5 FY 2002 Trust Budget Buyouts

Box 2 of 5 FY 2002 Buyouts

Box 2 of 5 FY 2002 Trust Income Projections

Box 2 of 5 Year-End Operational Review October 2002

Box 2 of 5 FY 2002 Operational Reviews

Box 2 of 5 FY 2002 First Quarter Report

Box 2 of 5 Originals

### Box 3

Box 3 of 5 FY 2003 OMB Budget Formulation

Box 3 of 5 FY 2003 Mandatory Costs

Box 3 of 5 FY 2003 Submission to OMB (3 folders)

Box 3 of 5 FY 2003 OMB Comp. Files (MAX)

Box 3 of 5 FY 2003 Budget Schedules (Galleys)

Box 3 of 5 FY 2003 OMB Passback and Appeal

Box 3 of 5 FY 2003 Congressional Hearing Briefing Book

Box 3 of 5 FY 2003 Capability Statements

Box 3 of 5 FY 2003 Continuing Resolutions

Box 3 of 5 FY 2003 Allotment/Allocations

Box 3 of 5 FY 2003 Supplemental Appropriations

Box 3 of 5	FY 2003 Reprogramming Requests
Box 3 of 5	FY 2003 Perm. Reprogrammings
Box 3 of 5	FY 2003 Congressional Action
Box 3 of 5	FY 2003 Submission to Congress
Box 3 of 5	FY 2003 Congressional Hearings (2 folders)
Box 3 of 5	FY 2003 Operational Reviews
Box 3 of 5	FY 2003 Second Quarter Report
Box 3 of 5	FY 2004 Call for Plans and Budgets
Box 3 of 5	FY 2004 OMB Budget Formulation
Box 3 of 5	FY 2004 Reduction in Force
Box 3 of 5	FY 2004 OMB Comp. Files (MAX)
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Box 4 of 5	FY 2004 Year-end Closing
Box 4 of 5	FY 2004 Performance Plan and Measurement
Box 4 of 5	Budget Decisions FY 2004 and FY 2005 Federal and Trust Sources
Box 4 of 5	FY 2004 Budget Justification to OMB Vol. II Appendix (3 folders)

Box 4 of 5	FY 2004 Submission to OMB
Box 4 of 5	Initial FY 2004 Operational Review (3 folders)
Box 4 of 5	FY 2005 Five Year Plan
Box 4 of 5	FY 2005 Annual Performance Plan
Box 4 of 5	FY 2005 Call for Plans and Budgets
Box 4 of 5	FY 2005 OMB Allowance Letter
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Box 4 of 5	FY 2005 Allotment/Allocations
Box 4 of 5	FY 2005 Submission to Congress
Box 4 of 5	FY 2005 Congressional Hearings
Box 4 of 5	FY 2005 Congressional Budget Preparation
Box 4 of 5	FY 2005 OMB Passback and Appeal
Box 4 of 5	FY 2005 OMB Hearing/Review
Box 4 of 5	FY 2005 Budget Schedules (Galleys)
Box 4 of 5	FY 2005 OMB Comp. Files (MAX)

Box 5

Box 5 of 5	FY 2005 Budget Justification to OMB Vol. II Appendix (3 folders)
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Box 5 of 5 Initial FY 2005 Operational Review (3 folders)

Box 5 of 5 FY 1985 Employment (2 folders)

Box 5 of 5 FY 1995 Rescission