# Table of Contents

Collection Overview ........................................................................................................ 1  
Administrative Information .............................................................................................. 1  
Descriptive Entry.............................................................................................................. 1  
Names and Subjects ........................................................................................................ 1  
Container Listing .......................................................................................................... 2
Collection Overview

Repository: Smithsonian Institution Archives, Washington, D.C., osiaref@si.edu
Title: Formulation and Execution Files
Identifier: Accession 18-008
Date: FY 1989-FY 2017
Extent: 16 cu. ft. (16 record storage boxes)
Creator: Smithsonian Institution. Office of Planning, Management and Budget
Language: English

Administrative Information

Preferred Citation
Smithsonian Institution Archives, Accession 18-008, Smithsonian Institution. Office of Planning, Management and Budget, Formulation and Execution Files

Descriptive Entry

This accession consists of budget records documenting the formulation and execution of the Smithsonian's federal and trust budgets. Materials include budget justifications, allocations, transfers, transmittals, executions, budget calls, reviews, and other reports.

Names and Subject Terms

This collection is indexed in the online catalog of the Smithsonian Institution under the following terms:

Subjects:
- Budget
- Budget process
- Legislative hearings -- United States
- Museum finance
- Museums -- Administration

Types of Materials:
- Manuscripts
Container Listing

Box 1

Box 1 of 16  FY 1999 Budget Request to Congress
Box 1 of 16  FY 2000 Budget Request to Congress, February 1999
Box 1 of 16  FY 2001 Budget Request to Congress, February 2000
Box 1 of 16  FY 2002 Budget Request to Congress
Box 1 of 16  FY 2004 Budget Request to Congress, February 2003
Box 1 of 16  FY 2005 Budget Request to Congress, February 2004
Box 1 of 16  FY 2006 Budget Request to Congress, February 2005
Box 1 of 16  FY 2007 Budget Justification to Congress, February 2006
Box 1 of 16  FY 2008 Budget Justification to Congress, February 2007
Box 1 of 16  FY 2009 Budget Justification to Congress, February 2008
Box 1 of 16  FY 2010 Budget Justification to Congress, May 2009
Box 1 of 16  FY 2011 Budget Justification to Congress, February 2010
Box 1 of 16  FY 2012 Budget Justification to Congress, February 2011
Box 1 of 16  FY 2013 Budget Justification to Congress, February 2012
Box 1 of 16  FY 2014 Budget Justification to Congress, April 2013
Box 1 of 16  FY 2015 Budget Justification to Congress, March 2014
Box 1 of 16  FY 2016 Budget Justification to Congress, February 2015
Box 1 of 16  FY 2017 Budget Justification to Congress, February 2016
Box 1 of 16  FY 1999 Budget Request to the Office of Management and Budget (OMB)

Box 2

Box 2 of 16  FY 2000 Budget Request to OMB, September 1998
Box 2 of 16  FY 2001 Budget Justification to OMB, September 1999
Box 2 of 16  FY 2002 Budget Justification to OMB, January 2001
| Box 2 of 16 | FY 2003 Budget Request to OMB |
| Box 2 of 16 | FY 2004 Budget Request to OMB (Volume I) and Appendix (Volume II) |
| Box 2 of 16 | FY 2005 Budget Request to OMB (Volume I) and Appendix (Volume II) |
| Box 2 of 16 | FY 2006 Budget Request to OMB |
| Box 2 of 16 | FY 2007 Budget Justification to OMB, September 2005 |
| Box 2 of 16 | FY 2008 Budget Justification to OMB, September 2006 |
| Box 2 of 16 | FY 2009 Budget Justification to OMB, September 2007 |
| Box 2 of 16 | [FY 2010 Budget Justification to OMB: Not published - Online] |
| Box 2 of 16 | FY 2011 Budget Justification to OMB, September 2009 |
| Box 2 of 16 | FY 2012 Budget Request to OMB |
| Box 2 of 16 | FY 2013 Budget Summary Justification to OMB, September 2011 |
| Box 2 of 16 | FY 2014 Budget Summary Justification to OMB, September 2012 |
| Box 2 of 16 | FY 2015 Budget Summary Justification to OMB, September 2013 |
| Box 2 of 16 | FY 2016 Budget Summary Justification to OMB, September 2014 |
| Box 2 of 16 | FY 2017 Budget Summary Justification to OMB, September 2015 |
| Box 2 of 16 | FY 1993 Trust Allocations |
| Box 2 of 16 | FY 1994 Trust Allocations |
| Box 2 of 16 | FY 1995 Trust Allocations (folder 1 or 2) |
| Box 2 of 16 | FY 1995 Trust Allocations (folder 2 or 2) |
| Box 3 of 16 | FY 1996 Trust Allocations |
| Box 3 of 16 | FY 1997 Trust Allocations |
| Box 3 of 16 | FY 1998 Trust Allocations |
| Box 3 of 16 | FY 1998 Trust Allocations Backup |
| Box 3 of 16 | FY 1999 Trust Allocations |
| Box 3 of 16 | FY 2000 Trust Allocations |
Box 3 of 16  FY 2001 Trust Allocations
Box 3 of 16  FY 2002 Trust Allocations
Box 3 of 16  FY 2002 Trust Allocations Backup
Box 3 of 16  FY 2003 Trust Allocations

Box 4
Box 4 of 16  FY 2003 Trust Allocations Backup
Box 4 of 16  FY 2004 Trust Allocations
Box 4 of 16  FY 2004 Trust Allocations Backup
Box 4 of 16  FY 2005 Trust Allocations
Box 4 of 16  FY 2005 Trust Allocations Backup
Box 4 of 16  FY 2006 Trust Allocations
Box 4 of 16  FY 2006 Trust Allocations Backup
Box 4 of 16  FY 2007 Trust Allocations
Box 4 of 16  FY 2007 Trust Allocations Backup
Box 4 of 16  FY 2008 Trust Allocations
Box 4 of 16  FY 2008 Trust Allocations Backup
Box 4 of 16  FY 2009 Trust Allocations
Box 4 of 16  FY 2009 Trust Allocations Backup
Box 4 of 16  FY 2010 Trust Allocations
Box 4 of 16  FY 2010 Trust Allocations Backup

Box 5
Box 5 of 16  FY 2011 Trust Allocations
Box 5 of 16  FY 2011 Trust Allocations Backup
Box 5 of 16  FY 2012 Trust Allocations
Box 5 of 16  FY 2013 Trust Allocations
Box 5 of 16  FY 2014 Trust Allocations
| Box 5 of 16 | FY 1990 Federal Allocations (4 folders) |
| Box 5 of 16 | FY 1991 Federal Allocations (4 folders) |
| Box 5 of 16 | FY 1992 Federal Allocations (4 folders) |
| Box 5 of 16 | FY 1993 Federal Allocations (folders 1-2 of 4) |

| Box 6 |
| Box 6 of 16 | FY 1993 Federal Allocations (folders 3-4 of 4) |
| Box 6 of 16 | FY 1994 Federal Allocations (3 folders) |
| Box 6 of 16 | FY 1994 Federal Allocations Backup |
| Box 6 of 16 | FY 1995 Federal Allocations (2 folders) |
| Box 6 of 16 | FY 1996 Federal Allocations (2 folders) |
| Box 6 of 16 | FY 1996 Federal Allocations Backup |
| Box 6 of 16 | FY 1997 Federal Allocations (2 folders) |
| Box 6 of 16 | FY 1998 Federal Allocations |

| Box 7 |
| Box 7 of 16 | FY 1998 Federal Allocations Backup (Memoranda and tables) |
| Box 7 of 16 | FY 1999 Federal Allocations |
| Box 7 of 16 | FY 2000 Federal Allocations |
| Box 7 of 16 | FY 2001 Federal Allocations (2 folders) |
| Box 7 of 16 | FY 2002 Federal Allocations (2 folders) |
| Box 7 of 16 | FY 2003 Federal Allocations (2 folders) |
| Box 7 of 16 | FY 2004 Federal Allocations (2 folders) |
| Box 7 of 16 | FY 2006 Federal Allocations and Budget Transfers (3 folders) |

| Box 8 |
| Box 8 of 16 | FY 2007 Federal Budget Transfers (2 folders) |
| Box 8 of 16 | FY 2008 Federal Budget Transfers (2 folders) |
| Box 8 of 16 | FY 2009 Federal Budget Transfers (4 folders) |
Box 8 of 16  
FY 1997 Federal Budget Transfers (4 folders)

Box 9

Box 9 of 16  
FY 1998 Federal Budget Transfers (3 folders)

Box 9 of 16  
FY 2004 Trust Budget Transfers (2 folders)

Box 9 of 16  
FY 2005 Trust Budget Transfers (4 folders)

Box 9 of 16  
FY 2006 Trust Budget Transfers (folder 1 of 4)

Box 10

Box 10 of 16  
FY 2006 Trust Budget Transfers (folders 2-4 of 4)

Box 10 of 16  
FY 2007 Trust Budget Transfers (5 folders)

Box 10 of 16  
FY 2008 Trust Budget Transfers (folder 1 of 5)

Box 11

Box 11 of 16  
FY 2008 Trust Budget Transfers (folders 2-5 of 5)

Box 11 of 16  
FY 2009 Trust Budget Transfers (folders 1-4 of 5)

Box 12

Box 12 of 16  
FY 2009 Trust Budget Transfers (folder 5 of 5)

Box 12 of 16  
FY 2010 Trust Budget Transfers (3 folders)

Box 12 of 16  
FY 2011 Trust Budget Transfers (3 folders)

Box 12 of 16  
FY 2012 Trust Budget Transfers (3 folders)

Box 13

Box 13 of 16  
FY 2013 Trust Budget Transfers (4 folders)

Box 13 of 16  
FY 2014 Trust Budget Transfers (4 folders)

Box 13 of 16  
FY 1989 Trust Transmittals (3 folders)

Box 13 of 16  
FY 1990 Trust Transmittals (folders 1-2 of 3)

Box 14

Box 14 of 16  
FY 1990 Trust Transmittals (folder 3 of 3)

Box 14 of 16  
FY 1991 Trust Transmittals (3 folders)
Box 14 of 16
FY 1992 Trust Transmittals (3 folders)
Box 14 of 16
FY 1993 Trust Transmittals (3 folders)
Box 14 of 16
FY 1994 Trust Transmittals (3 folders)
Box 14 of 16
FY 1995 Trust Transmittals (3 folders)
Box 14 of 16
FY 1996 Trust Transmittals (3 folders)
Box 14 of 16
FY 1992 Federal Transmittals (2 folders)

Box 15
Box 15 of 16
FY 1993 Federal Transmittals (2 folders)
Box 15 of 16
FY 1994 Federal Transmittals (2 folders)
Box 15 of 16
FY 1995 Federal Transmittals (2 folders)
Box 15 of 16
FY 1996 Federal Transmittals (2 folders)
Box 15 of 16
FY 2009 Federal/Trust Budget Execution
Box 15 of 16
FY 2008 Federal/Trust Budget Execution
Box 15 of 16
FY 2007 Federal/Trust Budget Execution
Box 15 of 16
FY 2006 Federal/Trust Budget Execution
Box 15 of 16
FY 2005 Federal/Trust Budget Execution

Box 16
Box 16 of 16
FY 2002 Federal Budget Execution
Box 16 of 16
FY 2002 Trust Fund Personnel Action Plan
Box 16 of 16
Box 16 of 16
FY 2000 First Quarter Review, All Funds
Box 16 of 16
FY 2000 Federal Budget Allocation and Execution
Box 16 of 16
FY 2000/FY 2001 Trust/Federal Call for Plans and Budgets - Departments 61-85
Box 16 of 16
FY 2000/FY 2001 Trust/Federal Call for Plans and Budgets - Departments 50-58
Box 16 of 16
FY 2000/FY 2001 Trust/Federal Call for Plans and Budgets - Departments 40-49
Box 16 of 16  FY 2000/FY 2001 Trust/Federal Call for Plans and Budgets - Departments 30-39
Box 16 of 16  FY 2000/FY 2001 Trust/Federal Call for Plans and Budgets - Departments 00-29
Box 16 of 16  FY 1997 Financial Activity by Organization Resources
Box 16 of 16  FY 1997/FY1998-FY 2002 Call for Plans and Budgets, including FY 1997 Call for Auxiliary Activities Plans and Budgets, March 1996
Box 16 of 16  FY 1996 Financial Review
Box 16 of 16  FY 1995 First Quarter Review, All Funds
Box 16 of 16  Federal Congressional Budget Call - Unit Responses (Exhibit F-3s)
Box 16 of 16  FY 1989 Third Quarter Review, All Funds
Box 16 of 16  FY 1989 Federal Allocations and Budget Transfers (4 folders) (Accession 18-075)
Box 16 of 16  FY 1990 Financial Review and Budget Transfers (Accession 18-075)